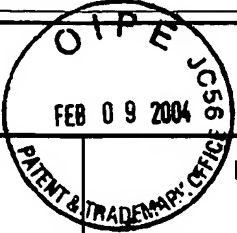


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(f))			Docket No. CSO-0001-P	
In Re Application Of: Sophie Chen				
Serial No. 10/647,458	Filing Date 8/1/2003	Examiner	Group Art Unit	
Title: BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE				
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>				
OR				
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. CSO-0001-P	
In Re Application: Sophie Chen				
Serial No. 10/647,458	Filing Date 8/1/2003	Examiner	Group Art Unit	



BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of _____ is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. **06-1130**
as described below.

☐ Charge the amount of _____

☐ Credit any overpayment.

☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

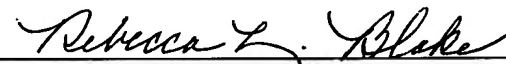
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **2/5/2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

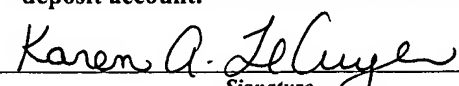


 Signature of Person Mailing Correspondence

Rebecca L. Blake

 Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**



 Signature

Karen A. LeCuyer
 Registration No. 51,928
 Customer No. 23413
 Telephone No. 860-286-2929

Dated: **February 5, 2004**

CC:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. CSO-0001-P		SERIAL NO. 10/647,458	
				Sophie Chen FILING 8/1/2003 GROUP			

O I P E
 FEB 09 2004
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 01 30342 A1	03.05.2001	PCT				✓
	EP 0 742 012 A2	13.11.1996	European				✓
	WO 00 03706	27.01.00	PCT				✓
	WO 98 48790	05.11.98	PCT				✓
	EP 0 906 761 A2	07.04.1999	European				✓

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	DESCRIPTION
			Ikemoto Shinichi et al., "Antitumor effects of Scutellariae radix and its components biacalein, baicalin, and wogonin on bladder cancer cell lines", <i>UROLOGY</i> , vol. 55, no. 6, June 2000, pages 951-955.
			Lee Woan-Rouh et al., "Wogonin and fisetin induce apoptosis in human promyeloleukemic cells, accompanied by a decrease of reactive oxygen species, and activation of caspase 3 and Ca2+-dependent endonuclease", <i>BIOCHEMICAL PHARMACOLOGY</i> , vol. 63, no. 2, 15 January 2002, pages 225-236.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

